

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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Complete if Known

Application Number	10/650,461
Filing Date	August 27, 2003
First Named Inventor	DAWES, David
Art Unit	2883
Examiner Name	DUPUIS, Derek L.
Attorney Docket Number	09140.0025-00



U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials ¹	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
DLD		US 3,309,302	03/14/1967	Heil	
		US 4,082,569	04/04/1978	Evans, Jr.	
		US 4,587,225	05/06/1986	Tsukuma et al.	
		RE 32,449	06/30/1987	Claussen	
		US 5,173,271	12/22/1992	Chen et al.	
		US 5,296,089	03/22/1994	Chen et al.	
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		US 5,338,625	08/16/1994	Bates et al.	
		US 5,435,826	07/25/1995	Sakakibara et al.	
		US 5,472,795	12/05/1995	Atita	
		US 5,478,456	12/26/1995	Humpal et al.	
		US 5,512,147	04/30/1996	Bates et al.	
		US 5,538,796	07/23/1996	Schaffer et al.	
		US 5,561,004	10/01/1996	Bates et al.	
		US 5,569,520	10/29/1996	Bates	
		US 5,597,660	01/28/1997	Bates et al.	
		US 5,612,152	03/18/1997	Bates	
		US 5,645,626	07/08/1997	Edlund et al.	
		US 5,702,829	12/30/1997	Paidassi et al.	
		US 5,738,731	04/14/1998	Shindo et al.	
		US 5,909,346	06/01/1999	Malhotra et al.	
		US 5,930,046	07/27/1999	Solberg et al.	
		US 6,000,603	12/14/1999	Koskenmaki et al.	
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		US 6,168,884 B1	01/02/2001	Neudecker et al.	
		US 6,232,242 B1	05/15/2001	Hata et al.	

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Date
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10/15/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Attorney Docket Number	09140.0025-00

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

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		Number-Kind Code ² (if known)			
DLD		US 6,242,129 B1	06/05/2001	Johnson	
		US 6,242,132 B1	06/05/2001	Neudecker et al.	
		US 6,280,875 B1	08/28/2001	Kwak et al.	
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		US 2002/0115252 A1	08/22/2002	Haukka et al.	
		US 2002/0140103 A1	10/03/2002	Kloster et al.	
		US 2003/0035906 A1	02/20/2003	Memarian et al.	
		US 2003/0127319 A1	07/10/2003	Demaray et al.	
		US 2003/0134054 A1	07/17/2003	Demaray et al.	

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IDS Form PTO/SB/08: Substitute for form 1449A/PTO				Complete if Known	
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				<i>First Named Inventor</i>	DAWES, David
				<i>Art Unit</i>	2883
				<i>Examiner Name</i>	DUPUIS, Derek L.
<i>Sheet</i>	3	of	8	<i>Attorney Docket Number</i>	09140.0025-00

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		Number-Kind Code ² (if known)			
DLD		US 2003/0178637 A1	09/25/2003	Chen et al.	
		US 2004/0043557 A1	03/04/2004	Haukka et al.	
		US 2004/0077161 A1	04/22/2004	Chen et al.	
		US 2004/0105644 A1	06/03/2004	Dawes	
		US 2005/0048802 A1	03/03/2005	Zhang et al.	
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		US 2006/0054496 A1	03/16/2006	Zhang et al.	
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		US 2006/0134522 A1	06/22/2006	Zhang et al.	
		US 2005/0048802 A1	03/03/2005	Zhang et al.	

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
DLD		DE 37 38 738 C1	01/26/1989	Degussa AG		Yes
		EP 0 639 655 A1	02/22/1995	Asahi Glass Co, Ltd.		
		EP 0 652 308 A2	05/10/1995	Neuralsystems Corp. et al.		
		EP 1 068 899 A1	01/17/2001	Nippon Sheet Glass Co., Ltd.		
		EP 1 092 689 A1	04/18/2001	BPS Alzenau GmbH		Abstract
		JP 5-230642 A	09/07/1993	Nissin High Voltage Co., Ltd.		Yes
		JP 7-224379 A	08/22/1995	Ulvac Japan Ltd		Abstract
		JP 7-233469 A	05/09/1995	Asahi Glass Co, Ltd.		Abstract
		WO 99/61674 A1	12/02/1999	Universiteit Gent		
		WO 2004/106581 A2	12/09/2004	Symmorphix, Inc.		
		WO 2004/106582 A2	12/09/2004	Symmorphix, Inc.		

Examiner Signature	/Derek Dupuis/	Date Considered	10/15/2006
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				First Named Inventor	DAWES, David
				Art Unit	2883
				Examiner Name	DUPUIS, Derek L.
Sheet	4	of	8	Attorney Docket Number	09140.0025-00

FOREIGN PATENT DOCUMENTS					
DLD		WO 2006/063308 A2	06/15/2006	Symmorphix, Inc.	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
DLD		ASM Handbook, Formerly Ninth Edition, Metals Handbook, Volume 15, Casting, Davis et al. (Eds.), ASM International, pp. 372-373, 376-383, and 410-411 (1988).	
		CROWDER, M.A. et al., "Low-Temperature Single-Crystal Si TFT's Fabricated on Si Films Processed via Sequential Lateral Solidification," <i>IEEE Electron Device Lett.</i> 19(8):306-308 (1998).	
		DOREY, R.A., "Low temperature micromoulding of functional ceramic devices," Grant summary for GR/S84156/01 for the UK Engineering and Physical Sciences Research Council, 2 pages (2004).	
		GREENE, J.E. et al., "Morphological and electrical properties of rf sputtered Y ₂ O ₃ -doped ZrO ₂ thin films," <i>J. Vac. Sci. Tech.</i> 13(1):72-75 (1976).	
		HOWSON, R.P., "The reactive sputtering of oxides and nitrides," <i>Pure & Appl. Chem.</i> 66(6):1311-1318 (1994).	
		HWANG, M-S. et al., "The effect of pulsed magnetron sputtering on the properties of indium tin oxide thin films," <i>Surface and Coatings Tech.</i> 171:29-33 (2003).	
		IM, J.S. & SPOSILI, R.S., "Crystalline Si Films for Integrated Active-Matrix Liquid Crystal Displays," <i>MRS Bulletin</i> , pp. 39-48 (1996).	
		IM, J.S. et al. "Controlled Super-Lateral Growth of Si Films for Microstructural Manipulation and Optimization," <i>Physica Status Solidi (A)</i> 166(2):603-617 (1998).	
		IM, J.S. et al., "Single-crystal Si films for thin-film transistor devices," <i>Appl. Physics Lett.</i> 70(25):3434-3436 (1997).	
		KELLY, P.J. et al., "A novel technique for the deposition of aluminum-doped zinc oxide films," <i>Thin Solid Films</i> 426(1-2):111-116 (2003).	
		KIM, H-K. & YOON, Y., "Characteristics of rapid-thermal-annealed LiCoO ₂ cathode film for an all-solid-state thin film microbattery," <i>J. Vac. Sci. Technol. A</i> 22(4):1182-1187 (2004).	
		TOMASZEWSKI, H. et al., "Ytria-stabilized zirconia thin films grown by reactive r.f. magnetron sputtering," <i>Thin Solid Films</i> 287:104-109 (1996).	
		TUKAMOTO, H. & WEST, A.R., "Electronic Conductivity of LiCoOs and Its Enhancement by Magnesium Doping," <i>J. Electrochem. Soc.</i> 144(9):3164-3168 (1997).	
		Office Action issued on September 27, 2004 in U.S. Application No. 10/291,179 (Atty. Docket No. 09140.0001-00).	
✓		Response to Office Action filed on March 14, 2005 in U.S. Application No. 10/291,179 (Atty. Docket No. 09140.0001-00).	

Examiner Signature	/Derek Dupuis/	Date Considered	10/15/2006
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Sheet 5 of 8

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Application Number	10/650,461
Filing Date	August 27, 2003
First Named Inventor	DAWES, David
Art Unit	2883
Examiner Name	DUPUIS, Derek L.
Attorney Docket Number	09140.0025-00

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DLD		Office Action issued on June 15, 2005 in U.S. Application No. 10/291,179 (Atty. Docket No. 09140.0001-00).	
		Response to Office Action filed on October 17, 2005 in U.S. Application No. 10/291,179 (Atty. Docket No. 09140.0001-00).	
		Final Office Action issued on December 14, 2005 in U.S. Application No. 10/291,179 (Atty. Docket No. 09140.0001-00).	
		Response to Final Office Action filed April 14, 2006, in U.S. Appl. No. 10/291,179 (Atty. Docket No. 9140.0001-00).	
		Office Action mailed April 27, 2006, in U.S. Appl. No. 10/291,179 (Atty. Docket No. 9140.0001-00).	
		Response to Office Action filed July 27, 2006, in U.S. Appl. No. 10/291,179 (Atty. Docket No. 9140.0001-00).	
		Notice of Allowance mailed August 6, 2002, for US Patent No. 6,506,289 (Atty. Docket No. 09140.0002-01).	
		Notice of Allowance mailed November 10, 2003 for US Patent No. 6,827,826 (Atty. Docket No. 09140.0002-02).	
		PCT Invitation to Pay Additional Fees for PCT/US01/22750, dated March 13, 2002 (Atty. Docket No. 09140.0002-00304).	
		PCT International Search Report for PCT/US01/22750, dated July 19, 2002 (Atty. Docket No. 09140.0002-00304).	
		PCT Written Opinion for PCT/US01/22750, dated July 23, 2002 (Atty. Docket No. 09140.0002-00304).	
		PCT International Preliminary Examination Report for PCT/US01/22750, dated October 8, 2002 (Atty. Docket No. 09140.0002-00304).	
		Amendment/RCE filed on March 10, 2005 in U.S. Application No. 09/903,081 (Atty. Docket No. 09140-0014-00).	
		Office Action issued on March 17, 2005 in U.S. Application No. 09/903,081 (Atty. Docket No. 09140-0014-00).	
		Response to Office Action filed on June 17, 2005 in U.S. Application No. 09/903,081 (Atty. Docket No. 09140-0014-00).	
		Office Action issued on July 8, 2005 in U.S. Application No. 09/903,081 (Atty. Docket No. 09140-0014-00).	
		Office Action issued on November 28, 2005 in U.S. Application No. 09/903,081 (Atty. Docket No. 09140-0014-00).	
✓		Response to Office Action filed February 28, 2006 in U.S. Application No. 09/903,081 (Atty. Docket No. 09140-0014-00).	

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DLD		Final Office Action mailed May 8, 2006 in U.S. Application No. 09/903,081 (Atty. Docket No. 09140-0014-00).		
		Office Action issued September 21, 2005 in U.S. Application No. 11/100,856 (Atty. Docket No. 09140.0015-01).		
		Response to Office Action filed February 17, 2006 in U.S. Application No. 11/100,856 (Atty. Docket No. 09140.0015-01).		
		Final Office Action mailed June 9, 2006 in U.S. Appl. No. 11/100,856 (Atty. Docket No. 09140.0015-01).		
		Office Action dated January 13, 2005, in Application No. 10/101,863 (Atty. Docket No. 09140.0016-00).		
		Response to Office Action filed on June 10, 2005 in U.S. Application No. 10/101,863 (Atty. Docket No. 09140.0016-00).		
		Office Action issued on August 4, 2005, in U.S. Application No. 10/101,863 (Atty. Docket No. 09140.0016-00).		
		Response to Office Action filed December 5, 2005, in U.S. Application No. 10/101,863 (Atty. Docket No. 09140.0016-00).		
		Final Office Action issued on February 14, 2006, in U.S. Application No. 10/101,863 (Atty. Docket No. 09140.0016-00).		
		Response to Office Action filed February 24, 2006, in U.S. Application No. 10/101,863 (Atty. Docket No. 09140.0016-00).		
		Office Action mailed March 22, 2006, in U.S. Appl. No. 10/101,863 (Atty. Docket No. 09140.0016-00).		
		Response to Office Action filed June 12, 2006, in U.S. Appl. No. 10/101,863 (Atty. Docket No. 09140.0016-00).		
		Office Action dated March 25, 2005, received in Application No. 10/954,182 (Atty. Docket No. 09140.0016-01).		
		Response to Office Action filed on July 25, 2005 in Application No. 10/954,182 (Atty. Docket No. 09140.0016-01).		
		Office Action issued on October 25, 2005, in U.S. Appl. No. 10/954,182 (Atty. Docket No. 09140-0016-01000).		
		Response to Office Action filed on December 21, 2005 in Application No. 10/954,182 (Atty. Docket No. 09140.0016-01).		
		Office Action issued on March 9, 2006, in U.S. Appl. No. 10/954,182 (Atty. Docket No. 09140-0016-01000).		
✓		Response to Office Action filed on August 9, 2006 in Application No. 10/954,182 (Atty. Docket No. 09140.0016-01).		

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DLD		Office Action issued on August 8, 2005 in U.S. Application No. 10/101,341 (Atty. Docket No. 09140-0017-00).	
		Response to Office Action filed on November 8, 2005, in U.S. Application No. 10/101,341 (Atty. Docket No. 09140-0017-00).	
		Office Action issued on February 13, 2006, in U.S. Application No. 10/101,341 (Atty. Docket No. 09140-0017-00).	
		Response to Office Action filed on May 15, 2006, in U.S. Application No. 10/101,341 (Atty. Docket No. 09140-0017-00).	
		Office Action issued on August 2, 2006, in U.S. Application No. 10/101,341 (Atty. Docket No. 09140-0017-00).	
		International Preliminary Examination Report mailed on April 15, 2004 in PCT/US03/24809 (Atty. Docket No. 09140-0025-00304).	
		Office Action issued on December 2, 2005 in U.S. Application No. 10/789,953 (Atty. Docket No. 09140.0030-00).	
		Response to Office Action filed March 2, 2006 in U.S. Application No. 10/789,953 (Atty. Docket No. 09140.0030-00).	
		Final Office Action issued on May 19, 2006 in U.S. Application No. 10/789,953 (Atty. Docket No. 09140.0030-00).	
		Specification and Preliminary Amendment as filed for U.S. Appl. No. 11/297,057 (Atty. Docket No. 09140.0030-01).	
		Office Action from Singapore Patent Office in Appl. No. 200505388-9, dated March 20, 2006 (Atty. Docket No. 9140.0030-00256).	
		Office Action issued on March 24, 2005 in U.S. Application No. 10/851,542 (Atty. Docket No. 09140.0033-00).	
		Response to Office Action dated July 25, 2005 in U.S. Application No. 10/851,542 (Atty. Docket No. 09140-0033-00).	
		Office Action issued on October 19, 2005 in U.S. Application No. 10/851,542 (Atty. Docket No. 09140.0033-00).	
		Response to Office Action filed January 19, 2006 in U.S. Application No. 10/851,542 (Atty. Docket No. 09140.0033-00).	
		Office Action mailed April 19, 2006 in U.S. Application No. 10/851,542 (Atty. Docket No. 09140.0033-00).	
		Response to Office Action filed July 26, 2006 in U.S. Application No. 10/851,542 (Atty. Docket No. 09140.0033-00).	
✓		PCT International Search Report and Written Opinion for Application No. PCT/US2004/014524 dated March 2, 2005 (Atty. Docket No. 09140.0033-00304).	

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DLD		PCT International Preliminary Report on Patentability for Application No. PCT/US2004/014524, dated December 8, 2005 (Atty. Docket No. 09140.0033-00304).	
↓		PCT International Search Report for Application No. PCT/US2004/014523 dated January 17, 2005 (Atty. Docket No. 09140.0034-00304).	
		PCT Written Opinion for Application No. PCT/US2004/014523 dated January 17, 2005 (Atty. Docket No. 09140.0034-00304).	
		PCT International Preliminary Report on Patentability for Application No. PCT/US2004/014523, dated December 8, 2005 (Atty. Docket No. 09140.0034-00304).	
↓		Specification as filed September 2, 2005, for U.S. Appl. No. 11/218,652 (Atty. Docket No. 09140.0052-00).	

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